

- (5) If it has been barred from racing by the Stewards.
- (6) If it has had its entry refused by the Stewards of the Jockey Club.

**Rule 146.** A horse is not qualified to start for any race: —

- (1) Unless it is duly entered for the same.
- (2) Unless it has been declared to run in such race.
- (3) Unless it is trained by a licensed trainer.
- (4) If it has a tracheotomy (tubed).
- (5) If it has been declared by the Veterinary Officer to be unfit or unsafe.
- (6) If it has an implant other than one which is pharmacologically inactive.
- (7) If it is more than 128 days pregnant.
- (8) If it is one-eyed or blind of an eye.
- (9) If it has been subjected to blood replacement.
- (10) If it has been the subject of a neurectomy operation.
- (11) If it has been the subject of a laparoscopic castration operation.

**Rule 147.** No horse shall be declared to run within 14 days of its return from the spelling stables.

**Rule 148.** If a horse, which is not qualified according to the provisions of these Rules, be entered or run for any race it shall on a protest/objection be disqualified for the race and the nominator and/or trainer and/or any other person responsible may be penalised by the Stewards.

**Rule 149.** If it is found that a horse that is presented for pre-race weighing or to run in a race, barrier trial, stalls test or grass gallop is not the horse that has been specified for such pre-race weighing, race, trial, test or gallop, the trainer and/or any other person responsible commits a breach of these Rules.

### **Corrupt Practices and Disqualification of Persons**

**Rule 150.** Any person found guilty of a corrupt, fraudulent or improper act or practice whether or not his conduct constitutes a breach of any other of these Rules may be declared a disqualified person or be otherwise penalised by the Stewards of the Jockey Club or the Stewards in accordance with their powers.

**Rule 151.** Without prejudice to the generality of the foregoing Rule, a person shall be guilty of a corrupt, fraudulent or improper practice if he: -

- (1) Except with authorisation by the Veterinary Surgeon, administers or attempts to administer or allows or causes to be administered, or is found to have administered, or connives at the administration to a horse of any quantity of any prohibited substance; or
- (2) Uses or has in his possession any electric or electronic apparatus or improper contrivance or means capable of affecting the performance of a horse in a race or training gallop; or
- (3) Gives or offers or promises directly or indirectly, any bribe in any form or corruptly offers any money, present or share in a bet or other benefit to any person having official duties in relation to a race or racehorse or to any trainer, jockey, agent or other person having charge of or access to any racehorse; or
- (4) Being a person having official duties in relation to a race or being a trainer, jockey, agent or other person having charge of or access to any racehorse accepts or offers to accept any bribe in any form, or corruptly accepts or offers to accept any money, present or share in a bet or other benefit; or
- (5) Wilfully enters or causes to be entered for any race or causes to start in any race, a horse which he knows or believes to be disqualified; or
- (6) Being an owner, nominator, licensed person or employee of The Hong Kong Jockey Club by advertisement, circular, letter or other means offers to give information concerning his own or other horses in return for any monetary or other consideration or who connives at such practice; or
- (7) Gives at any inquiry or appeal any evidence which in the opinion of the Stewards or the Stewards of the Jockey Club is false or misleading in any particular; or
- (8) Provides the Stewards, the Stewards of the Jockey Club or any Official with any false or misleading statement or declaration in respect of any matter in connection with the administration or control of racing; or

- (9) Is guilty of or conspires with any other person for the commission of or connives at any other person being guilty of any corrupt or fraudulent practice in relation to racing in any other country or is convicted of any criminal offence in relation to racing in The Hong Kong SAR or any other territory; or
- (10) Other than the registered owner or his properly authorised agent, both of whose names appear on the race card as owner or trainer, gives or attempts to give instructions regarding the running or riding of a horse in a race or the arrangements for training and racing it; or
- (11) As a licensed trainer accepts instructions as to any arrangements concerning the maintenance, training, entering and/or declaring in or for races, running and/or riding in races of a horse from anyone other than the registered owner whose name appears in the register maintained by the Stewards of the Jockey Club pursuant to these Rules; or
- (12) As a licensed jockey or apprentice accepts instructions concerning the maintenance, training, entering and/or declaring in or for races, running and/or riding in races of a horse from anyone other than the trainer or registered owner whose name appears in the register maintained by the Stewards of the Jockey Club pursuant to these Rules; or
- (13) As a licensed person or registered owner fails to report to the Stewards of the Jockey Club, or as they shall direct, any event or circumstances which comes to his knowledge and which would constitute a contravention of any of these Rules; or
- (14) Bets with or for a jockey or an apprentice or gives or offers a jockey any pecuniary or other gift or consideration contrary to these Rules.

**Rule 152.** When a person is warned-off and so long as his exclusion continues he is a disqualified person.

- Rule 153. (1)** Any person on whom a suspension or a disqualification has been imposed by any recognised horse racing, trotting, harness racing or greyhound authority is a suspended or disqualified person under these Rules so long as the suspension or disqualification continues unless the Stewards of the Jockey Club declare otherwise. Any person affected by the application of this Rule may apply to the Stewards of the Jockey Club for declaration of non-imposition in The Hong Kong SAR provided that he has exhausted all avenues of appeal to the authority imposing the original award and provided that the application is made within 48 hours of final dismissal of appeal under the rules of the imposing authority or, if no appeal is provided for, within 72 hours of the original award. Any application received after this time will be considered only if the applicant can show that it could not have been made within the time limit laid down in this Rule and that it was made at the earliest opportunity. Applications for non-imposition in The Hong Kong SAR are to be made in writing to the Registry Office and must state the reasons why the penalty should not apply in The Hong Kong SAR.
- (2) Any person who acts in any official capacity, enters, owns, trains or rides a horse entered or running at any unrecognised meeting in The Hong Kong SAR is liable to be made a disqualified person by the Stewards of the Jockey Club for such time as they shall think fit.

**Rule 154.** A disqualified person so long as his disqualification lasts shall not: —

- (1) Act as a Steward or Official at any recognised meeting.
- (2) Act as authorised agent under these Rules.
- (3) Enter, run, train or ride a horse in any race at any recognised meeting or ride in trials. If any entry made by a person disqualified or warned-off be mistakenly or inadvertently accepted, such acceptance shall be void, and the horse shall not be qualified to be entered or to start or trial.
- (4) Enter any racecourse, stand or enclosure, or any place where horses are stabled or are being trained.
- (5) Except with permission of the Stewards of the Jockey Club be employed in any racing stable.